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# A Probability Distribution Strategy with Efficient Clause Selection for Hard Max-SAT Formulas

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## Abstract

1 Many real-world problems involving constraints can be regarded as instances of  
2 the Max-SAT problem, which is the optimization variant of the classic satisfiability  
3 problem. In this paper, we propose a novel probabilistic approach for Max-SAT  
4 called ProMS. Our algorithm relies on a stochastic local search strategy using a  
5 novel probability distribution function with two strategies for picking variables, one  
6 based on available information and another purely random one. Moreover, while  
7 most previous algorithms based on WalkSAT choose unsatisfied clauses randomly,  
8 we introduce a novel clause selection strategy to improve our algorithm. Experi-  
9 mental results illustrate that ProMS outperforms many state-of-the-art stochastic  
10 local search solvers on hard unweighted random Max-SAT benchmarks.

## 11 1 Introduction

12 In numerous tasks, we need to jointly make decisions subject to Boolean constraints. Many of these  
13 tasks can trivially be reduced to the Max-SAT problem. Examples include learning the structure of a  
14 Markov network [9], multi-agent plan recognition [20], and automated planning and scheduling [19].  
15 Given a number of variables and constraints in the form of clauses converted to conjunctive normal  
16 form (CNF), the goal in Max-SAT is to find an assignment that maximizes the number of satisfied  
17 clauses. Unfortunately, the problem is NP-hard, and for the Max-3-SAT variant (limited to clauses of  
18 size 3), it is hard to approximate optimal solutions within a factor of more than  $7/8$  [11]. Algorithms  
19 for Max-SAT are often categorized as either complete or incomplete. The former guarantee the  
20 optimality of the output but may fail to deliver any solution at all within a given time.<sup>1</sup> Incomplete  
21 algorithms, in contrast, can deliver a solution within a given time but do not guarantee its optimality,  
22 instead seeking to find near-optimal solutions within a reasonable time.

23 For the incomplete category of both regular SAT and Max-SAT, a number of stochastic local search  
24 (SLS) algorithms have been proposed, including GSAT [16], WalkSAT [15], probSAT [5], and  
25 configuration checking (CC) [8, 14]. For Max-SAT, Iterated Robust Tabu Search [17] was ranked first  
26 in the Max-SAT Evaluation 2012, but, overall, the results were not particularly good. Demonstrating  
27 that ideas from incomplete algorithms for SAT can be applied to Max-SAT, a variant of CC named  
28 CCLS [13] was ranked highest in the Unweighted Random track of the Max-SAT Evaluations 2013  
29 and 2015, respectively, improving considerably over previous results.

30 Yet, despite the success of purely probabilistic strategies for SAT, to the best of our knowledge, no one  
31 has succeeded in adopting such a strategy for Max-SAT, due to the different nature of the problem.

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<sup>1</sup>From some complete algorithms such as branch and bound ones, one can extract a partial assignment and an upper bound of the solution at any time, but these are not rigorous complete solutions.

32 **Contributions.** Our main contribution is a new probability distribution-based algorithm for Max-  
 33 SAT, while in recent years there have only been few effective random Max-SAT solvers, most of  
 34 which adopt a greedy SLS strategy. We present a novel probabilistic strategy for variable selection.  
 35 Our ProMS (Probability Distribution-based Max-SAT Solving) algorithm is shown to outperform the  
 36 previous state-of-the-art on hard random problem instances. As additional contributions, we present  
 37 new optimizations for more efficient calculations, which are also applicable to other SLS solvers.

## 38 2 Variable selection for Max-SAT

### 39 2.1 Preliminaries

40 Our input is a formula  $F = c_1 \wedge \dots \wedge c_m$  in conjunctive normal form (CNF), where  $c_i$  are disjunctive  
 41 clauses that consist of literals (Boolean variables or their negations) on a set of variables  $V =$   
 42  $\{x_1, x_2, \dots, x_n\}$ . A Max- $k$ -SAT formula is a CNF such that each clause contains at most  $k$  literals. A  
 43 complete assignment  $\alpha$  is a candidate solution such that each variable has a truth value of 0 (*false*) or  
 44 1 (*true*). The Max-SAT problem consists in finding an  $\alpha$  that minimizes the number of unsatisfied  
 45 clauses. We use  $\mathcal{C}_U$  to denote the set of unsatisfied clauses. We also define  $r = m/n$  as the ratio of  
 46 clauses to variables, where  $n$  is the total number of Boolean variables and  $m$  is the number of clauses.

47 **Definition 1** *Given a CNF  $F$  and a complete assignment  $\alpha$ , the break value  $b(v)$  of a variable  $v$  is*  
 48 *the number of clauses in  $F$  that will transition from satisfied to unsatisfied after flipping  $v$  under*  
 49  *$\alpha$ , and the make value  $m(v)$  of such a  $v$  is the number of clauses in  $F$  that will transition from*  
 50 *unsatisfied to satisfied after flipping  $v$  under  $\alpha$ .*

### 51 2.2 Analysis of variable selection strategies

52 Stochastic local search algorithms proceed by repeatedly selecting variables to flip. For probSAT,  
 53 the variable picking function first randomly selects an unsatisfied clause  $c$  (like WalkSAT), and then  
 54 a variable  $v$  in  $c$  is chosen with probability  $\frac{f(v)}{\sum_{v' \in c} f(v')}$ , where  $f(v)$  is a probability function for  
 55 variables  $v$ . The best known probability function for random 3-SAT, based on empirical studies, has  
 56 the form  $f = (0.9 + b(v))^{-k}$ , with  $k = 2.06$  for the phase transition point. This improves over  
 57 WalkSAT by mapping each  $b(v)$  to a specific probability. Thus, successful SAT methods [5, 15]  
 58 choose variables  $v$  using a function of the form  $f(v) = g(b(v))$ , i.e. relying only on the break value.

59 Our proposal is that for Max-SAT, the make value, as well, is a crucial piece of information about a  
 60 variable, and hence our function should take the form  $f(v) = g(m(v), b(v))$ . In other words,  $f(v)$   
 61 will depend on both the make and break values of a variable  $v$ . We conjecture that for SAT, when two  
 62 variables both have high break values (which means they are not worth flipping), it is preferable to  
 63 pick variables somewhat blindly to escape local optima, whilst for Max-SAT, there are more variables  
 64 with high break value due to the bigger  $\mathcal{C}_U$ . In this case, the make values are needed to guide the  
 65 search process towards assignments with fewer unsatisfied clauses. The benefits of the  $m(v)$  values  
 66 thus greatly compensate for the cost of calculating them.

67 **Theoretical justification.** Let  $F$  be a uniform random 3-CNF with  $n$  variables and  $m$  clauses.  
 68 Further, let  $X_s$  be the number of assignments that satisfy at least  $s$  clauses in  $F$  and let  $X_\alpha$  be the  
 69 number of clauses that a random assignment  $\alpha$  satisfies in  $F$ . We have  $\mathbb{E}[X_s] = 2^n Pr[X_\alpha \geq s]$ . The  
 70 event that each clause is satisfied by an  $\alpha$  is independent, so assuming that  $X_\alpha^c$  denotes  $\alpha$  satisfying a  
 71 random clause in  $F$ , we find that  $Pr[X_\alpha \geq s] = Pr[X_\alpha^c \leq m-s] = \left(\frac{7}{8}\right)^{m-s} \binom{m-s}{m-s}$ , and thus

$$\mathbb{E}[X_s] = 2^n \left(\frac{7}{8}\right)^s \binom{m}{s}. \quad (1)$$

72 Suppose  $s = m - c$  with  $c$  being a constant. In this case, Eq. 1 becomes (omitting the polynomial)

$$\mathbb{E}[X_s] = 2^n \left(\frac{7}{8}\right)^{m-c} \binom{m}{c} \sim 2^n \left(\frac{7}{8}\right)^m.$$

If  $m > \left(-\frac{1}{\log_2 \frac{7}{8}} + \delta\right)n \approx (5.2 + \delta)n$  for some positive constant  $\delta$ , then  $\mathbb{E}[X_s] = 2^{-\Omega(n)}$ . By  
 applying Markov's inequality, we obtain

$$Pr[X_s > 0] = Pr[X_s \geq 1] \leq \mathbb{E}[X_s] = 2^{-\Omega(n)}.$$

73 In other words, for a large enough random 3-SAT formula with ratio greater than a threshold, it is  
 74 almost impossible to obtain a solution that only violates a constant number of clauses.

75 The SAT problem is a special case for  $c = 0$ . We conjecture that for random  $k$ -CNF with ratio  
 76 lower than the threshold, there is no need to distinguish SAT and Max-SAT algorithms, i.e. for such  
 77 instances, an ideal algorithm for SAT is also optimal for Max-SAT.<sup>2</sup> In Max-SAT evaluation, in order  
 78 to determine the effectiveness of Max-SAT solvers, all random 3-CNF formulas have ratios greater  
 79 than 5.2, ranging from 7.5 to 21.5 (while high girth is another category). In fact, one can show that  
 80 for such formulas,  $\theta(m)$  clauses must be violated. Now let  $s = \lambda m$  with  $\lambda < 1$ . Using the fact that

81  $\binom{m}{\lambda m} \sim \left(\frac{1}{\lambda}\right)^{\lambda m} \left(\frac{1}{1-\lambda}\right)^{(1-\lambda)m}$  [10], we obtain

$$\mathbb{E}[X_{\lambda m}] \sim 2^n \left(\frac{1}{1-\lambda}\right)^m \left(\frac{7(1-\lambda)}{8\lambda}\right)^{\lambda m}. \quad (2)$$

82 By setting Eq. 2 to 1, we obtain a mapping function  $\lambda = h(r)$  (recall that  $m = rn$ ). This implies  
 83 that for each specific ratio  $r$ , at most  $\lambda m$  clauses can be satisfied, i.e. the probability of the existence  
 84 of a  $(> \lambda m)$ -solution vanishes rapidly in an inverse exponential law when  $n$  goes to infinity.  
 85 However, finding a near-threshold solution (slightly worse than optimal) is much easier. For example,  
 86  $h(21.5) \approx 0.979$ , which means that for a large enough random 3-CNF with ratio of 21.5, it is almost  
 87 impossible to satisfy more than  $0.979m$  clauses. But the number of near-threshold solutions that  
 88 satisfy  $0.972m$  clauses is  $\mathbb{E}[X_{0.972m}] \sim 1.913^n$ . This implies that the Hamming distance between  
 89 a random assignment and a near-threshold solution is  $n - \log_2 1.913 \times n \approx 0.064n$ , and this is  
 90 extremely easy to be reached by modern solvers [7]. Considering the incremental process of finding  
 91 a solution for Max-SAT, the convergence time for reaching a near-threshold solution is important.

92 Comparing to break-only probability functions, assigning a greater probability mass to variables  
 93 with higher make values encourages the algorithm to decrease the number of unsatisfied clauses  
 94 more quickly, which reduces the convergence time dramatically. This comes at the price of a smaller  
 95 coverage of the search space. We will later introduce a special modification to our variable selection,  
 96 the pure random mode, allowing us to recover such coverage. Our experiments later confirm that our  
 97 choice leads to highly favorable results.

### 98 3 ProMS algorithm

99 As given in Algorithm 1, ProMS first randomly generates a complete assignment, and then repeatedly  
 100 picks a variable and flips it, for up to a maximal number  $M$  of steps. In each step, once a clause has  
 101 been selected, the incident variables are chosen with probability  $p$  according to a distribution function  
 102  $f$ . We then update the current assignment. If the number of unsatisfied clauses is now lower than for  
 103 the previous best assignment  $\alpha^*$ , we update  $\alpha^*$  to be the current assignment. Ultimately, the best  
 104 found assignment is returned.

#### 105 3.1 Variable selection probabilities

106 Following our analysis in Section 2, we select variables  $v$  for flipping based on both the make  
 107 values  $m(v)$  and the break values  $b(v)$ , while  $m(v)$  is not used in algorithms like WalkSAT and  
 108 probSAT for the SAT problem. In particular, we define  $f(v) = m(v)^\zeta (1 + b(v))^\eta$ , where  $\zeta, \eta$  are  
 109 parameters. Based on the scoring function  $f$ , our algorithm iterates over variables  $v$  and picks them  
 110 with probability

$$p(v) = \begin{cases} \frac{f(v)}{\tau(c)} & \tau(c) \geq \delta \\ \frac{1}{|c|} & \text{otherwise} \end{cases} \quad (3)$$

111 where  $\tau(c) = \sum_{v \in c} f(v)$  denotes the score of a clause  $c$  and  $\delta$  is a threshold parameter.

112 When  $\tau(c)$  is very low, which means that all incident variables have high break values and thus there  
 113 are no promising variables, every variable within the clause is chosen with equal probability. Such

<sup>2</sup>A naive way to show this is to enumerate all  $\binom{m}{c}$  combinations of violated clauses, fixing the variables in them, and checking the satisfiability of the remaining formulas using a SAT algorithm. For this analysis, we do not consider specific algorithms but only aim to characterize its existence theoretically.

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**Algorithm 1:** ProMS

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**Input:** CNF-formula  $F$ , max. steps  $M$ **Output:** An assignment  $\alpha^*$  of  $F$ 

```
1 generate a random assignment  $\alpha$ ,  $\alpha^* \leftarrow \alpha$ 
2 for  $step \leftarrow 1$  to  $M$  do
3    $c \leftarrow \text{pickClause}(\mathcal{C}_U(F, \alpha))$  ▷ pick unsatisfied clause
4    $\tau \leftarrow \sum_{v \in c} f(v)$ 
5   if  $\tau > \delta$  then
6     foreach  $v \in c$  do
7       choose  $v$  and break the loop with probability  $\frac{f(v)}{\tau}$ 
8   else
9      $v \leftarrow$  a variable in  $c$  chosen at random
10   $\alpha \leftarrow \alpha$  with  $v$  flipped
11  if  $|\mathcal{C}_U(F, \alpha)| < |\mathcal{C}_U(F, \alpha^*)|$  then
12     $\alpha^* \leftarrow \alpha$ 
13 return  $\alpha^*$ 
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**Algorithm 2:** pickClause

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**Input:**  $\mathcal{C}_U$ , size  $m$ ,  $m_{\max}$ **Output:** An unsatisfied clause  $c$ 

```
1  $c \leftarrow$  the second element of  $\mathcal{C}_U$ 
2 move the first element of  $\mathcal{C}_U$  to the end
3  $m \leftarrow m + 1$ 
4 if  $m > m_{\max}$  then
5   carry out defragmentation
6    $m \leftarrow |\mathcal{C}_U|$ 
7 return  $c$ 
```

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114 a purely random selection is also used for diversification in dynamic local search [12]. Due to the  
115 influence of the make values  $m(v)$  on  $f(v)$ , our algorithm could fall into local optima much faster  
116 than with a break-only function. Thus the purely random mode neutralizes excessive greediness and  
117 prevents our algorithm from performing poorly.

118 When  $\tau(c)$  is above the threshold, every variable is allowed to flip with a probability greater than 0  
119 (note that  $m(v)$  is always positive because we choose variables from an unsatisfied clause), while in  
120 WalkSAT, some flips are forbidden when 0-break variables exist.

### 121 3.2 Make/break computation

122 Instead of computing break values on demand in every iteration (the non-caching scheme), the  
123 XOR-caching technique involves maintaining the break values incrementally with XOR scheme  
124 optimization, which is 20% faster than the standard caching implementation [4]. Non-caching,  
125 however, can be quite successful [18], because for the WalkSAT family, when the current break  
126 value exceeds the minimal break value encountered, the computation can be terminated. These two  
127 schemes are only for SAT problems with short clauses.

128 In our algorithm, both make values  $m(v)$  and break values  $b(v)$  need to be calculated. We considered  
129 all four combinations in our experiments:

- 130 • MCBC: the original implementation, calculating both  $m(v)$  and  $b(v)$  with XOR-caching
- 131 • MCBN: calculate  $m(v)$  with caching, but  $b(v)$  with non-caching
- 132 • MNBC: calculate  $m(v)$  with non-caching but  $b(v)$  with XOR-caching
- 133 • MNBN: calculate both  $m(v)$  and  $b(v)$  with non-caching

134 Note that the XOR scheme is for reducing the complexity of maintaining the break value when the  
135 number of true literals transitions to 2 or from 1. The transitions that cause the  $m(v)$  value to change  
136 are different, so in MCBN, we prefer the faster choice, without XOR scheme.

137 **3.3 Clause selection**

138 Studies of WalkSAT-family algorithms focused on the variable picking strategy. Only recently  
 139 with probSAT was the strategy of selecting unsatisfied clauses investigated further [3]. This work  
 140 suggested pseudo breadth first search (PBFS) instead of random selection (RS) to select unsatisfied  
 141 clauses. The unsatisfied clauses  $\mathcal{C}_U$  are usually implemented as an array with dynamic length  $m$  to  
 142 store the actual clauses, and a position array to record the indices of the unsatisfied clauses. In each  
 143 update step, when a clause turns unsatisfied, one adds the new unsatisfied clause to the end of the  
 144 array, increasing  $m$  and updating the position array (the break step). When a clause turns satisfied, it  
 145 is swapped with the last element in the array,  $m$  is decremented, and the position array updated (the  
 146 make step). The RS strategy picks a random clause index from  $\{0, \dots, m - 1\}$ , while PBFS picks it  
 147 as  $s \bmod m$ , where  $s$  is the number of iterations.

Table 1: Experimental Analysis

(a) Average flips per second and transitions percentage				(b) Comparison of 3 clause selection strategies			
		High Girth	Random $k$ -sat	Clause Selection	SBFS	PBFS	RS
Average flips per second ( $10^6$ )	MCBC	5.68	<b>4.97</b>	Average steps per second ( $10^6$ )	<b>7.2</b>	6.6	6.6
	MCBN	<b>6.31</b>	4.95	Speed up	<b>9.09%</b>	0%	-
	MNBC	5.37	4.19	Average steps per instances per run ( $10^6$ )	<b>1.176</b>	1.185	1.222
	MNBN	5.90	4.34	Total time (s)	<b>8166</b>	8950	9261
Transitions of 0-1,1-0 (causes make calculation)		25.1%	20.5%	Speed up	<b>11.5%</b>	3.4%	-
Transitions of 0-1,1-0,1-2,2-1 (causes break calculation)		81.7%	75.5%				

148 However, due to the frequency of make steps, the order of elements in the array is unpredictable. This  
 149 leads us to propose a stricter alternative called *second best breadth first search* (SBFS). We implement  
 150  $\mathcal{C}_U$  as an array with a dynamic size  $m$  to denote the last position. The clauses are maintained in the  
 151 exact order they were inserted. In each step, we move the first element to the end and choose the  
 152 second one (simply choosing the first one or the last one would be too strict).

153 The make steps will introduce empty slots at arbitrary positions in the array. When an empty slot is  
 154 encountered, we simply ignore it and move on until a non-empty slot is reached. A defragmentation  
 155 procedure is initiated when  $m$  exceeds some  $m_{\max}$ . This procedure moves all the elements back to  
 156 the beginning not only to avoid memory limits but also to significantly decrease the time cost for  
 157 iterating over empty slots. Moreover, the average complexity is very low. Under the optimal  $m_{\max}$   
 158 we set, only 40 such operations are required per 1,000 search steps.

159 **Comparison with original PBFS and RS:** For break steps, necessary operations for SBFS, PBFS,  
 160 and RS are almost the same: one adds the new unsatisfied clause to the end of the array and increases  
 161 the size, while updating the position array. However, during make steps, the SBFS simply sets the  
 162 slot that contains the newly satisfied clause to 0, while PBFS and RS swap it with the last element and  
 163 update the position array. As a result, the flip option is faster in our case. We evaluated our algorithm  
 164 with these three clause selection schemes in Experiment 2 of Section 4.

Table 2: Comparison on Random Generated High-girth Instances

Instance	CCLS2015		iraNovelty++		MaxWalkSAT		probSAT		ProMS	
	opt. avg.	time	opt. avg.	time	opt. avg.	time	opt. avg.	time	opt. avg.	time
hg-v350	7.52 7.52	6.9	7.76 7.8	6.9	7.76 7.8	130.5	7.64 7.848	64.3	<b>7.48</b> <b>7.48</b>	1.7
hg-v400	8.28 8.28	18.4	9.28 9.28	145.3	8.68 9.056	94.0	9.08 9.576	100.3	<b>8.28</b> <b>8.28</b>	<b>1.5</b>
hg-v500	9.8 9.88	42.9	12.14 12.24	-	11.08 11.74	43.0	12.2 13.0	39.0	<b>9.8</b> <b>9.8</b>	<b>3.0</b>
hg-v600	11.96 12.18	53.0	15.44 15.44	-	14.04 14.94	177.0	16.28 17.38	-	<b>11.96</b> <b>12.0</b>	<b>6.4</b>

## 165 4 Experiments

166 We now describe a series of experiments that assess the make/break calculations and clause selection  
167 strategies for ProMS, comparing it with the winners of recent Max-SAT Evaluations.

### 168 4.1 Experimental setup

169 **Benchmarks.** All the benchmarks in our experiment are unweighted instances from the following  
170 two particularly hard problem domains.

- 171 1. High girth model based benchmarks: This is an important model for generating Max-SAT problem  
172 instances due to the degree of difficulty for satisfication [6]. A high expansion of the incidence  
173 graph of a CNF implies high resolution width [2]. In the Max-SAT Evaluation, high girth instances  
174 distinguished the performance of different solvers, while the results of other classes are rather  
175 close. We use the high girth benchmark of ratio 4 from the Max-SAT Evaluation 2015 as our first  
176 class, including 25 instances of 250 variables and 25 of 300 variables. The remaining classes are  
177 generated by the high girth generator provided by its author, with 350, 400, 500, 600 variables  
178 respectively and the same ratio as the first class. Each of these classes includes 25 instances.
- 179 2. Random  $k$ -sat benchmark based on fixed clause length random model (also known as uniform  
180 random  $k$ -SAT): We use 244 random 3-SAT instances from the Max-SAT Evaluation 2015, with  
181 particularly large ratios range from 7.5 to 21.5 to evaluate the robustness of our algorithm.

182 **Baselines.** We compared ProMS with five state-of-the-art SLS solvers in Experiment 3, all using the  
183 optimal parameters suggested in the referenced literature below.

- 184 • **probSAT:** We use probSAT, downloaded from EDACC<sup>3</sup>, which was the best-performing system  
185 in the SAT Competition 2013 “Sequential Random SAT” track and the SAT Competition 2014  
186 “Parallel Random SAT” track.
- 187 • **MaxWalkSAT:** A version of WalkSAT for Max-SAT, downloaded from its homepage<sup>4</sup>.
- 188 • **iraNovelty++:** The second place in the Max-SAT Evaluation 2013 “Unweighted Random” track.  
189 We use the latest binary, provided by its author [1].
- 190 • **CCLS2015:** CCLS [13] placed first in the Incomplete Solvers track of the Max-SAT Evaluation  
191 2015 “Unweighted Random” track. We use the binary submitted to the Max-SAT Evaluation 2015.

192 ProMS is implemented in C, and compiled with gcc using the “-O3” option for optimization. The  
193 cutoff time is set to 300 seconds for all instances and all solvers. All experiments are carried out on a  
194 machine with Intel Core Xeon E5-2650 2.60GHz CPU and 32GB RAM under Linux.

195 **Parameters.** Our approach has 3 parameters:  $\eta$ ,  $\zeta$  and  $\delta$ . In order to tune these, we use the  
196 benchmark data from the Max-SAT Evaluation 2012<sup>5</sup>, because for all of these instances optimal  
197 solutions are available<sup>6</sup>. We consider the elapsed time when the algorithm reaches the optimal  
198 solution, defined as the best solution ever encountered among all runs for all solvers, or assume *No*  
199 if a cutoff time of 300s is reached. In our result tables, a hyphen indicates that a particular solver  
200 never found the optimal solution. Based on a grid search, we fix  $\eta = -2.5$  and  $\zeta = r + 17.5$ , and set  
201  $\delta = 0.4 \cdot r - 1.4$ , where  $r$  is the ratio. The  $m_{\max}$  parameter is set as 4.5 times the number of clauses.  
202 It is quite possible that better parameters may be found through more careful tuning, and thus the  
203 performance of ProMS can be further improved.

### 204 4.2 Results

205 **Experiment 1.** To determine the preferred make/break value computation strategy, we compare the  
206 performance of MCBC, MCBN, MNBC, and MNBN. We also trace the transitions of the number of  
207 true literals in a clause, which lead to the calculation of the make and break values under MCBC, and  
208 determine the percentage of these transitions among all transitions.

209 Table 1a shows that using caching to calculate make values is always faster than non-caching, because  
210 the make calculations happen so rarely. For calculating break values, MCBN dominates MCBC on

<sup>3</sup><http://satcompetition.org/edacc/sc14/experiment/24/solver-configurations/1559>

<sup>4</sup><http://www.cs.rochester.edu/~kautz/WalkSAT/>

<sup>5</sup><http://www.maxsat.udl.cat/12/benchmarks/index.html>

<sup>6</sup><http://www.maxsat.udl.cat/12/detailed/ms-random-incomplete-table.html>

211 high girth benchmarks (11.1% speed-up), while the performance on random  $k$ -SAT is very close,  
212 since to calculate break value, the flipping speed depends on the number of iterations, the average of  
213 which is  $\frac{kr}{2}$  for ratio  $r$ , and the high girth instances have a lower  $r$ . As a result, we use MCBN to  
214 calculate make  $m(v)$  and break  $b(v)$  in our implementation.

215 **Experiment 2.** The second experiment focuses on the influence of different clause selection  
216 strategies for ProMS. We use all of the 3-SAT benchmarks from the Max-SAT Evaluation 2014  
217 with optimal solution given for each instance<sup>7</sup>, so that the algorithm can terminate when the optimal  
218 solution is reached. Because their performance is very close, we run each instance 1000 times. All  
219 runs discovered the optimal solution within the cutoff time of 300s.

220 As shown in Table 1b, the average steps per instance per run of SBFS is 3.91% less than for RS, and  
221 0.77% less than for PBFS, which means that our new clause selection strategy does not harm the  
222 quality of the clause selection. Moreover, SBFS brings a considerable speed-up in the average no. of  
223 steps per second, as the computations in each step are reduced. The combination of these two factors  
224 leads to the result of SBFS outperforming PBFS by 9.1% and RS by 11.5% in the overall time. Our  
225 approach thus relies on SBFS to select clauses.

226 **Experiment 3.** In the final experiment, we compare our approach with 4 state-of-the-art solvers.  
227 Each line in Tables 2 and 3 represent a class of instances, containing many instances of the same  
228 size. In Table 2, the hg-v350, hg-400, hg-v500, and hg-600 classes are generated by the high girth  
229 generator with 350, 400, 500, 600 variables, respectively, and each of these is evaluated 5 times.  
230 The instances in Table 3 are based on the 3-SAT<sup>8</sup> benchmarks from the Max-SAT Evaluation 2015,  
231 with 294 instances (244 random  $k$ -SAT and 50 high girth ones). These instances are evaluated 20  
232 times each. We define the best solution for each instance as the minimal solution found by any of the  
233 solvers over all runs. The runs that output the best solution are regarded as successful. We also define  
234 the optimal solution for each solver found for each instance as the minimal one among all the runs of  
235 the solver on that instance. We report the average time (“time”) over the successful runs, the average  
236 optimal solution (“opt.”), and the average solution (“avg.”) over all runs for each class.

237 In Tables 2 and 3, a hyphen in the “time” columns indicates that a solver failed to deliver a minimal  
238 solution in any run, which was the case for iraNovelty++, MaxWalkSAT, and probSAT on some  
239 instance classes. CCLS2015 cannot compete with our ProMS, especially for high ratio and high girth  
240 instances. Interestingly, probSAT, which only considers the break value in its distribution function  
241 and thus can be regarded as a degenerate version of ProMS, turns out to be among the weakest of all  
242 approaches. This shows that the make value plays a key role for Max-SAT.

## 243 5 Conclusions and future work

244 We have presented a novel algorithm for Max-SAT called ProMS. Unlike most previous Max-  
245 SAT approaches, ProMS eschews a greedy strategy in favor of a more probabilistic one. Unlike  
246 probabilistic SAT solvers such as probSAT, ProMS relies extensively on make values to guide its  
247 search. Our results show that these are crucial for variable selection, especially when paired with  
248 an additional pure random mode to constrain their influence. Moreover, selecting the second oldest  
249 clause instead of a random one improves the quality of the explored search space even further.

250 We find that ProMS significantly outperforms its competitors on hard problem instances, including  
251 solvers with configuration checking heuristics, whose robustness had been shown in several areas of  
252 combinatorial search. One downside is that the variance in our running times was also much bigger  
253 than for other approaches, due to the strong randomness in our algorithm. Fortunately, this can easily  
254 be addressed with a parallelized version of our solver that explores the search space simultaneously  
255 in multiple independent threads.

256 Regarding future work, it is natural to extend our model by exploring ratio-based parameters, for  
257 dynamically handling both high-ratio and more structured instances. While SLS algorithms are  
258 not ideal for highly structured instances, our search strategy could be incorporated into algorithms  
259 optimized for such instances. Finally, considering the clause weight in our distribution function may  
260 allow us extend our model to the Weighted Max-SAT and Weighted Partial Max-SAT problems.

<sup>7</sup><http://www.maxsat.udl.cat/14/benchmarks/index.html>

<sup>8</sup>Their 2-SAT benchmarks are too easy for the purpose of distinguishing the effectiveness of solvers.

Table 3: Random Unweighted Instances from Max-SAT Evaluation 2015

Instance	CCLS2015		iraNovelty++		MaxWalkSAT		probSAT		ProMS	
	opt. avg.	time	opt. avg.	time	opt. avg.	time	opt. avg.	time	opt. avg.	time
v70c700	22.8 23.0	2.3	23.0 23.4	16.8	23.0 23.0	20.8	23.2 23.4	4.0	<b>22.8</b> <b>22.8</b>	<b>1.2</b>
v70c800	30.2 30.4	2.1	30.4 31.0	35.9	30.6 31.0	38.8	31.8 32.4	22.1	<b>30.2</b> <b>30.4</b>	<b>1.8</b>
v70c900	39.2 39.4	3.9	39.4 40.0	10.1	40.0 41.0	103.5	40.2 41.4	88.3	<b>39.0</b> <b>39.4</b>	<b>2.0</b>
v70c1000	45.2 45.4	2.9	45.6 45.6	21.1	45.8 46.1	230.9	45.8 46.6	190.3	<b>44.8</b> <b>44.8</b>	<b>2.7</b>
v70c1100	<b>53.8</b> <b>53.8</b>	<b>1.9</b>	54.2 54.4	220.8	54.0 54.6	105.5	54.2 54.4	64.3	<b>53.8</b> 54.0	1.3
v70c1200	64.2 64.4	1.8	65.0 65.9	76.3	65.0 65.5	65.0	65.2 66.0	33.4	<b>64.0</b> <b>64.2</b>	<b>1.5</b>
v70c1300	71.4 71.6	1.5	71.6 72.0	10.2	72.0 72.8	143.7	72.2 72.4	98.3	<b>71.2</b> <b>71.4</b>	<b>1.5</b>
v70c1400	79.6 79.6	2.9	79.8 80.0	33.2	79.8 80.2	89.3	80.2 80.6	103.2	<b>79.4</b> <b>79.6</b>	<b>2.3</b>
v70c1500	90.0 90.2	1.8	91.2 91.8	45.4	90.8 91.4	-	91.2 92.0	-	<b>89.8</b> <b>90.0</b>	<b>3.7</b>
v80c600	<b>13.4</b> <b>13.5</b>	2.9	13.5 13.5	8.5	13.5 13.8	99.3	13.6 13.7	105.4	<b>13.4</b> <b>13.5</b>	<b>1.9</b>
v80c700	18.8 18.8	3.0	19.2 19.8	15.3	19.52 19.8	319.3	19.4 19.7	404.6	<b>18.7</b> <b>18.8</b>	<b>2.0</b>
v80c800	<b>27.3</b> 27.4	8.3	27.5 27.8	26.3	27.4 27.8	155.9	27.5 27.7	64.2	<b>27.3</b> <b>27.3</b>	<b>1.5</b>
v80c900	34.2 34.2	1.9	34.4 34.8	45.9	34.4 34.6	30.5	34.5 34.6	24.4	<b>34.1</b> <b>34.2</b>	<b>2.0</b>
v80c1000	41.0 41.1	3.5	41.2 41.3	33.0	41.2 41.3	232.9	41.2 41.2	14.0	<b>40.9</b> <b>41.0</b>	<b>1.9</b>
v90c700	<b>16.9</b> <b>17.1</b>	<b>1.9</b>	17.0 17.5	33.6	17.1 17.8	260.0	17.1 17.4	34.2	<b>16.9</b> <b>17.1</b>	2.1
v90c800	23.3 23.5	4.2	23.3 23.6	66.7	23.5 23.8	210.0	23.5 24.0	-	<b>23.1</b> <b>23.3</b>	<b>4.5</b>
v90c900	28.3 28.5	8.3	28.5 28.8	98.9	28.6 28.8	450.3	28.4 28.6	312.2	<b>28.2</b> <b>28.3</b>	<b>3.5</b>
v90c1000	37.9 37.9	3.5	38.4 38.8	119.3	38.5 40.0	-	38.4 40.1	-	<b>37.8</b> <b>37.8</b>	<b>2.9</b>
v90c1100	45.3 45.4	5.8	45.6 45.9	46.2	45.8 50.1	-	46.0 49.5	-	<b>45.1</b> <b>45.2</b>	<b>14.2</b>
v90c1200	53.6 53.7	6.4	53.9 54.4	33.1	54.0 54.3	-	54.1 54.4	-	<b>53.5</b> <b>53.7</b>	<b>8.3</b>
v90c1300	61.6 62.1	3.1	61.9 62.8	102.7	62.0 62.8	-	61.8 62.7	332.4	<b>61.4</b> <b>61.6</b>	<b>22.1</b>
v110c700	10.4 10.6	8.2	10.5 10.7	40.8	10.5 10.8	117.0	10.4 10.8	51.6	<b>10.3</b> <b>10.5</b>	<b>11.4</b>
v110c800	<b>16.5</b> <b>16.6</b>	3.1	16.6 16.7	56.4	16.7 16.8	100.4	16.7 16.9	44.8	<b>16.5</b> <b>16.6</b>	<b>2.4</b>
v110c900	21.6 21.7	4.1	21.8 22.0	30.3	21.9 22.3	133.2	21.8 22.0	71.0	<b>21.5</b> <b>21.7</b>	<b>9.4</b>
v110c1000	44.9 45.1	1.2	45.0 45.3	38.5	45.5 45.8	130.4	45.6 45.9	-	<b>44.8</b> <b>45.0</b>	<b>1.2</b>
v110c1100	37.0 37.4	1.9	37.8 38.0	313.9	38.0 38.3	-	38.1 38.5	-	<b>36.9</b> <b>37.0</b>	<b>22.5</b>
HG-v250c1000	5.6 5.7	4.9	6.0 6.3	121.9	6.2 6.5	537.7	6.4 7.0	-	<b>5.5</b> <b>5.6</b>	<b>8.4</b>
HG-v300c1200	6.3 6.5	98.0	6.3 6.4	202.5	7.2 8.0	-	7.1 8.0	-	<b>6.1</b> <b>6.3</b>	<b>15.2</b>

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