

On Block-Wise Symmetric Signatures for Matchgates

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Abstract. We give a classification of block-wise symmetric signatures in the theory of matchgate computations. The main proof technique is matchgate identities, a.k.a. useful Grassmann-Plücker identities.

1 Introduction

The most fundamental question in computational complexity theory is what differentiate between polynomial time and exponential time problems. On the one hand, we have many completeness results and conjectured separations of complexity classes. On the other hand we have precious few unconditional separations. In fact, the most spectacular advances in the field in the past 20 years have been *upper bounds*, i.e., surprising ways to do computation efficiently. Valiant's theory of matchgate and holographic algorithms [11,13] is one such methodology.

The basic idea in matchgate computations is to encode 0-1 bits of a computation in terms of *perfect matchings*. The complexity of graph matching is very interesting in its own right, having inspired the notion of P in the first place [5]. While a brute force attempt at graph matching seems to take exponential time, it turns out that the decision problem is in P. More relevant, counting perfect matchings is known to be in P for planar graphs by the FKT method [7,8,10]. (Counting all, not necessarily perfect, matchings for planar graphs is #P-complete, as is counting perfect matchings for general graphs [6].) So one can say that graph matching is right at the border of polynomial time and (probably) exponential time. Valiant's theory of matchgate computations uses the FKT method as the starting point.

To give a flavor of this methodology, let's consider the problem #₇P1-Rtw-Mon-3CNF. Given a planar read-twice monotone 3CNF formula, this problem asks for the number of satisfying assignments modulo 7. Without the modulo 7, it is #P-complete even for such restricted formulae [14]. Furthermore, counting

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mod 2, denoted as $\#_2\text{Pl-Rtw-Mon-3CNF}$, is $\oplus\text{P}$ -complete (hence NP-hard). But, using matchgates Valiant showed that $\#_7\text{Pl-Rtw-Mon-3CNF} \in \text{P}$ [14].

A matchgate is a weighted planar graph with some external nodes. E.g., let π be a path of length 3: all 3 edges have weight 1, and the two end vertices are external nodes. If we remove exactly one of the two external nodes we have 3 vertices left and therefore there is no perfect matching. If we remove either both or none of the two external nodes we get a unique perfect matching with weight 1 (the product of weights of matching edges). We can record this information as $(1, 0, 0, 1)^T$, indexed by 00, 01, 10, 11; this is called the (standard) *signature* of π . One can use this gadget to replace a Boolean variable x in a planar formula φ , and 00, 01, 10, 11 will naturally correspond to truth values of x to be fanned-out to the 2 clauses of φ in which x appears (recall it is read-twice). Then the signature $(1, 0, 0, 1)^T$ indicates consistency of this truth assignment on x .

Now for each clause in φ we wish to find a matchgate with 3 external nodes having signature $(0, 1, 1, 1, 1, 1, 1)^T$, indexed by 000, 001, ..., 111. This signature corresponds to a Boolean OR. One can replace each clause by such a gadget, and connect its 3 external nodes to the gadgets of its 3 variables. Then the total number of perfect matchings of the resulting planar graph is exactly the number of satisfying assignments of φ . This can be computed by the FKT method, which would imply $\text{P}^{\#\text{P}} = \text{P}$.

It turns out that a matchgate with the *standard* signature $(0, 1, 1, 1, 1, 1, 1)^T$ does not exist. However, using a basis transformation a (non-standard) signature in the form $(0, 1, 1, 1, 1, 1, 1)^T$ is *realizable* over the field \mathbf{Z}_7 (but not \mathbf{Q}). This gives the result that $\#_7\text{Pl-Rtw-Mon-3CNF} \in \text{P}$. (In this paper we will not be concerned with non-standard signatures.)

The signatures $(1, 0, 0, 1)^T$ and $(0, 1, 1, 1, 1, 1, 1)^T$ are called symmetric signatures, since their values only depend on the Hamming weight of the index. Symmetric signatures have natural combinatorial meanings (such as two equal bits or the Boolean OR). Therefore the study of symmetric signatures is of foremost importance in order to understand the power of these exotic algorithms. To this end, we have achieved a complete classification of bit-wise symmetric signatures [3].

In Valiant’s surprising algorithm for $\#_7\text{Pl-Rtw-Mon-3CNF}$ he took another innovative step in the use of matchgates. In his algorithm, the matchgates have external nodes grouped in blocks of 2 each (called “2-rail” in [14]). This naturally raises the question of classification of block-wise symmetric signatures. This paper is concerned with this classification.

The classification theorem of block-wise symmetric signatures is more difficult compared to that of bit-wise symmetric signatures. The main reason for this is that matchgate signatures are characterized by a set of parity requirements (due to consideration of perfect matchings) and an exponential sized set of algebraic constraints called *Matchgate Identities* (MGI) a.k.a. the *useful Grassman-Plücker Identities* [9,12,2,1]. These MGI are non-linear, and are more subtle compared to parity requirements. They come about due to an equivalence between the perfect matching polynomial PerfMatch and the *Pfaffian* [2,1]. For

bit-wise symmetric signatures, these MGI degenerate into something more readily treatable. This paper is the first time one is able to mount a successful and systematic attack on these MGI. We find proofs on MGI technically challenging, with almost every step a struggle (at least to the authors).

At a higher level, the new theory of matchgate and holographic algorithms represents a *novel* algorithm design methodology by Valiant, with its ultimate reach unknown. Will the new theory lead to a collapse of complexity classes? We don't know. Only a systematic study will (hopefully) tell. To get a classification theorem for block-wise symmetric signatures seems a useful step.

2 Background

Let $G = (V, E, W)$ be a weighted undirected planar graph. A *matchgate* Γ is a tuple (G, X) where $X \subseteq V$ is a set of external nodes, ordered counterclockwise on the external face. Γ is called an odd (resp. even) matchgate if it has an odd (resp. even) number of nodes.

Each matchgate Γ with n external nodes is assigned a (standard) *signature* $(\Gamma^\alpha)_{\alpha \in \{0,1\}^n}$ with 2^n entries,

$$\Gamma^{i_1 i_2 \dots i_n} = \text{PerfMatch}(G - Z) = \sum_M \prod_{(i,j) \in M} w_{ij},$$

where the sum is over all perfect matchings M of $G - Z$, and $Z \subseteq X$ is the subset of external nodes having the characteristic sequence $\chi_Z = i_1 i_2 \dots i_n$.

An entry Γ^α is called an even (resp. odd) entry if the Hamming weight $\text{wt}(\alpha)$ is even (resp. odd). It was proved in [1,2] that standard signatures are characterized by the following two sets of conditions. (1) The parity requirements: either all even entries are 0 or all odd entries are 0. This is due to perfect matchings. (2) A set of Matchgate Identities (MGI) defined as follows: A pattern α is an n -bit string, i.e., $\alpha \in \{0, 1\}^n$. A position vector $P = \{p_i\}, i \in [l]$, is a subsequence of $\{1, 2, \dots, n\}$, i.e., $p_i \in [n]$ and $p_1 < p_2 < \dots < p_l$. We also use p to denote the pattern, whose (p_1, p_2, \dots, p_l) -th bits are 1 and others are 0. Let $e_i \in \{0, 1\}^n$ be the pattern with 1 in the i -th bit and 0 elsewhere. Let $\alpha + \beta$ be the bitwise XOR of α and β . Then for any pattern $\alpha \in \{0, 1\}^n$ and any position vector $P = \{p_i\}, i \in [l]$,

$$\sum_{i=1}^l (-1)^i \Gamma^{\alpha + e_{p_i}} \Gamma^{\alpha + p + e_{p_i}} = 0. \tag{1}$$

The use of MGI will be central in this paper. These MGI come from the Grassmann-Plücker identities valid for Pfaffians. In fact initially Valiant introduced *two* theories of matchgate computation: The first is the matchcircuit theory with general (non-planar) matchgates [11]. These matchgates have *characters* which are defined in terms of Pfaffians. The second is the theory of matchgrid/holographic algorithms [13]. These use planar matchgates with signatures defined by PerfMatch. In [2] it was proved that MGI characterize (general)

matchgate characters. In [1] an equivalence theorem of characters and signatures was established, and thus MGI also characterize planar matchgate signatures. The dual forms of the theory have been useful in both ways: some times it is easier to reason and construct planar gadgets, other times the algebraic Pfaffian setup seems essential. A case in point is symmetric signatures.

A signature Γ is (bit-wise) symmetric if Γ^α only depends on $\text{wt}(\alpha)$. A bit-wise symmetric signature can be denoted as $[z_0, z_1, \dots, z_n]$, where $\Gamma^\alpha = z_{\text{wt}(\alpha)}$. It was proved in [2] that for even matchgates, a signature $[z_0, z_1, \dots, z_n]$ is realizable iff for all odd i , $z_i = 0$, and there exist constants r_1, r_2 and λ , such that $z_{2i} = \lambda \cdot (r_1)^{\lfloor n/2 \rfloor - i} \cdot (r_2)^i$, for $0 \leq i \leq \lfloor \frac{n}{2} \rfloor$. Similar results hold for odd matchgates. These are proved via MGI and Pfaffians. It is interesting to note that the only construction for a planar matchgate realizing this signature is through a non-planar matchgate Γ and its character theory. There is no known direct construction.

A tensor (Γ^α) on index $\alpha = \alpha_1 \dots \alpha_n$, where each $\alpha_i \in \{0, 1\}^k$, is *block-wise symmetric* if Γ^α only depends on the number of k -bit patterns of α_i , i.e., $\Gamma^{\dots \alpha_i \dots \alpha_j \dots} = \Gamma^{\dots \alpha_j \dots \alpha_i \dots}$, for all $1 \leq i < j \leq n$.

For an even (resp. odd) matchgate Γ with arity n , the *condensed signature* (g^α) of Γ is a tensor of arity $n - 1$, and $g^\alpha = \Gamma^{\alpha b}$ (resp. $g^\alpha = \Gamma^{\alpha \bar{b}}$), where $\alpha \in \{0, 1\}^{n-1}$ and $b = p(\alpha)$ is the parity of $\text{wt}(\alpha)$.

3 Decomposition Theory for Block-Wise Symmetric Signatures

Theorem 1. *Let (Γ^α) be a block-wise symmetric tensor with block size k and arity nk . Assume $n \geq 4$ and $\Gamma^{00\dots 0} \neq 0$ (or $\Gamma^{e_1 0 \dots 0} \neq 0$). Then Γ is realizable by a matchgate iff there exist a matchgate Γ_0 with arity $k + 1$ and condensed signature $(g^\alpha)_{\alpha \in \{0, 1\}^k}$, and a symmetric matchgate Γ_s such that*

$$\Gamma^{\alpha_1 \alpha_2 \dots \alpha_n} = \Gamma_s^{p(\alpha_1)p(\alpha_2)\dots p(\alpha_n)} g^{\alpha_1} g^{\alpha_2} \dots g^{\alpha_n}. \tag{2}$$

We only prove the case $\Gamma^{00\dots 0} \neq 0$ here, So it must be an even matchgate. For odd matchgates (the case $\Gamma^{e_1 0 0 \dots 0} \neq 0$), the proof is slightly more complicated but along similar lines. Due to space limitation, the proof of this case is omitted here and can be found in the full paper[4].

Proof. We prove “ \Leftarrow ” by a direct construction. In Figure 1, we extend every external node of Γ_s by a copy of the matchgate with condensed signature g , and view the remaining k external nodes of each copy as external. This gives us a new matchgate with nk external nodes, whose signature is given by (2). Therefore every signature which has form (2) is realizable.

Now we prove “ \Rightarrow ”: Since $\Gamma^{00\dots 0} \neq 0$, by adding an extra isolated edge with weight $1/\Gamma^{00\dots 0}$ we can assume $\Gamma^{00\dots 0} = 1$. First we assume $r_1 = \Gamma^{e_1 e_1 0 0 \dots 0} \neq 0$ (where for convenience we consider $e_1 \in \{0, 1\}^k$), and prove the theorem under this assumption. We take Γ_s to be an even symmetric matchgate with signature $z_{2i} = (r_1)^{-i}$. By [2] this Γ_s exists. Since the given (Γ^α) is realizable, it can be

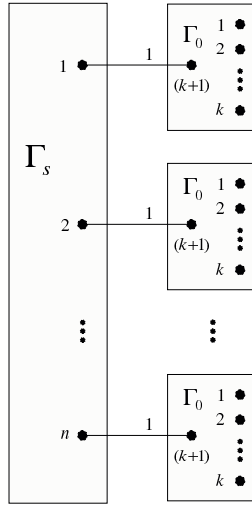


Fig. 1. Block-wise symmetric signature

realized by a matchgate Γ with nk external nodes. View its first $k + 1$ external nodes still as external nodes and the other nodes as internal, we have a matchgate with $k + 1$ external nodes. This is our Γ_0 . By definition its condensed signature is

$$g^\alpha = \begin{cases} \Gamma^{\alpha 00 \dots 0} & \text{when } \text{wt}(\alpha) \text{ is even,} \\ \Gamma^{\alpha e_1 0 \dots 0} & \text{when } \text{wt}(\alpha) \text{ is odd.} \end{cases}$$

Note that $g^0 = 1$ and $g^{e_1} = r_1$. We prove (2) by induction on $\text{wt}(\alpha_1 \alpha_2 \dots \alpha_n) \geq 0$ and $\text{wt}(\alpha_1 \alpha_2 \dots \alpha_n)$ is even.

If $\text{wt}(\alpha_1 \alpha_2 \dots \alpha_n) = 0$, we have the only case that $\alpha_1 \alpha_2 \dots \alpha_n = 00 \dots 0$. In this case (2) is obvious.

If $\text{wt}(\alpha_1 \alpha_2 \dots \alpha_n) = 2$, we have two cases depending on whether the two 1s are in the same block or not. If they are in the same block, we can assume it is in the first block since Γ is block symmetric, then $\Gamma^{\alpha_1 \alpha_2 \dots \alpha_n} = \Gamma^{\alpha_1 00 \dots 0} = g^{\alpha_1}$ and (2) is satisfied. If they are not in the same block, by symmetry, we may assume $\alpha_1 \alpha_2 \dots \alpha_n$ has the form $e_i e_j 00 \dots 0$. When 0 appears in the sup index of Γ , sup index of g , a pattern or positions used by a MGI for Γ , it means a block of all zero. Using the pattern $0e_j e_i e_1 00 \dots 0$ and positions $e_i e_j e_1 e_1 00 \dots 0$, from (1) we have the following matchgate identity (applying block-wise symmetry):

$$\Gamma^{e_i e_j e_1 e_1 0 \dots 0} \Gamma^{00 \dots 0} - \Gamma^{e_1 e_1 0 \dots 0} \Gamma^{e_i e_j 0 \dots 0} + \Gamma^{e_j e_1 0 \dots 0} \Gamma^{e_i e_1 0 \dots 0} - \Gamma^{e_j e_1 0 \dots 0} \Gamma^{e_i e_1 0 \dots 0} = 0.$$

The last two terms cancel out, we get:

$$\Gamma^{e_i e_j e_1 e_1 00 \dots 0} = \Gamma^{e_i e_j 00 \dots 0} \Gamma^{e_1 e_1 00 \dots 0}. \tag{3}$$

Next, using the pattern $0e_1e_je_100\cdots 0$ and positions $e_ie_1e_je_100\cdots 0$, we have the following matchgate identity:

$$\Gamma^{e_ie_je_1e_10\cdots 0}\Gamma^{00\cdots 0} - \Gamma^{e_je_10\cdots 0}\Gamma^{e_ie_10\cdots 0} + \Gamma^{e_1e_10\cdots 0}\Gamma^{e_ie_je_0\cdots 0} - \Gamma^{e_je_10\cdots 0}\Gamma^{e_ie_10\cdots 0} = 0.$$

Together with (3), we have $\Gamma^{e_ie_je_00\cdots 0}\Gamma^{e_1e_100\cdots 0} = \Gamma^{e_ie_100\cdots 0}\Gamma^{e_je_100\cdots 0}$. Since $\Gamma^{e_1e_100\cdots 0} = r_1 \neq 0$, we have $\Gamma^{e_ie_je_00\cdots 0} = \Gamma^{e_ie_100\cdots 0}\Gamma^{e_je_100\cdots 0}/r_1 = (r_1)^{-1}g^{e_i}g^{e_j}$. So (2) is satisfied.

Inductively we assume (2) has been proved for all $\text{wt}(\alpha_1\alpha_2\cdots\alpha_n) \leq 2(i-1)$, for some $i \geq 2$. Now $\text{wt}(\alpha_1\alpha_2\cdots\alpha_n) = 2i > 0$. By symmetry, we can assume $\alpha_1 \neq 00\cdots 0$. Let t be the position of the first 1 in α_1 . Using the pattern $\alpha_1\alpha_2\cdots\alpha_n + e_t$ and positions $\alpha_1\alpha_2\cdots\alpha_n$ (we denote it as $P = \{p_j\}$ where $j = 1, 2, \dots, 2i$), we have the following matchgate identity:

$$\Gamma^{\alpha_1\alpha_2\cdots\alpha_n} = \sum_{j=2}^{2i} (-1)^j \Gamma^{\alpha_1\alpha_2\cdots\alpha_n + e_t + e_{p_j}} \Gamma^{e_t + e_{p_j}}. \tag{4}$$

Since every Γ^β in the RHS has $\text{wt}(\beta) \leq 2i - 2$, we can apply (2) to them.

Now we do the summation of the RHS in (4) block by block; the sum of the r -th block is denoted as S_r . Let $w_r = \text{wt}(\alpha_r)$. Let $2q$ be the number of odd w_r , i.e., the number of blocks among $\alpha_1, \alpha_2, \dots, \alpha_n$ with odd weight. Note that this number is even.

For the first block, if $w_1 = 1$, then $S_1 = 0$, being an empty sum. Assume $w_1 > 1$. In the notation below we consider $e_t, e_{p_j} \in \{0, 1\}^k$ for convenience.

$$S_1 = \sum_{j=2}^{w_1} (-1)^j \Gamma^{(\alpha_1 + e_t + e_{p_j})\alpha_2\cdots\alpha_n} \Gamma^{(e_t + e_{p_j})00\cdots 0} \tag{5}$$

$$= r_1^{-q} g^{\alpha_2} \cdots g^{\alpha_n} \sum_{j=2}^{w_1} (-1)^j g^{\alpha_1 + e_t + e_{p_j}} g^{e_t + e_{p_j}}. \tag{6}$$

Note that the exponent q in r_1^{-q} comes from the fact that the number of blocks with odd weight among $\alpha_1 + e_t + e_{p_j}, \alpha_2, \dots, \alpha_n$ is $2q$.

If w_1 is odd, using the pattern $(\alpha_1 + e_t)1$ and positions $\alpha_1 1$, we have the following matchgate identity for Γ_0 :

$$-g^{\alpha_1} + \sum_{j=2}^{w_1} (-1)^j g^{\alpha_1 + e_t + e_{p_j}} g^{e_t + e_{p_j}} + g^{\alpha_1 + e_t} g^{e_t} = 0.$$

Substituting this in (6), we have:

$$S_1 = r_1^{-q} g^{\alpha_2} \cdots g^{\alpha_n} (g^{\alpha_1} - g^{\alpha_1 + e_t} g^{e_t}). \tag{7}$$

We note that this is also valid for $w_1 = 1$.

If w_1 is even, using the pattern $(\alpha_1 + e_t)0$ and positions $\alpha_1 0$, we have the following matchgate identity for Γ_0 :

$$-g^{\alpha_1} + \sum_{j=2}^{w_1} (-1)^j g^{\alpha_1 + e_t + e_{p_j}} g^{e_t + e_{p_j}} = 0.$$

Substituting this in (6), we have:

$$S_1 = r_1^{-q} g^{\alpha_1} g^{\alpha_2} \dots g^{\alpha_n}. \tag{8}$$

If all S_r are empty block-wise sums for $r > 1$ (i.e., $w_r = 0$ for all $r > 1$), then w_1 must be even, and we are done. Now suppose there are non-empty block-wise sums S_r , for $r > 1$. For the r -th block, let v_r be the number of 1s in the first $r - 1$ blocks, and p_j^r ($j \in [w_r]$) be the position of the j -th 1 in α_r . Then

$$S_r = (-1)^{v_r} \sum_{j=1}^{w_r} (-1)^j \Gamma^{(\alpha_1+e_t)\alpha_2\dots(\alpha_r+e_{p_j^r})\dots\alpha_n} \Gamma^{(e_t)00\dots(e_{p_j^r})\dots 0} \tag{9}$$

$$= (-1)^{v_r} r_1^{-q'} g^{e_t} g^{\alpha_1+e_t} g^{\alpha_2} \dots \widehat{g^{\alpha_r}} \dots g^{\alpha_n} \sum_{j=1}^{w_r} (-1)^j g^{\alpha_r+e_{p_j^r}} g^{e_{p_j^r}}, \tag{10}$$

where $\widehat{g^{\alpha_r}}$ denotes a missing factor, and $2q'$ is the total number of odd blocks in $\alpha_1 + e_t, \alpha_2, \dots, \alpha_r + e_{p_j^r}, \dots, \alpha_n$ from the first factor Γ and in $(e_t)00\dots(e_{p_j^r})\dots 0$ from the second factor Γ . If w_r is even, using the pattern $\alpha_r 1$ and positions $\alpha_r 0$, we have the following matchgate identity for Γ_0 :

$$\sum_{j=1}^{w_r} (-1)^j g^{\alpha_r+e_{p_j^r}} g^{e_{p_j^r}} = 0.$$

Substituting this in (10), we have $S_r = 0$.

Therefore, among block sums S_r , for $r > 1$, we need only consider blocks with odd w_r . Assume w_r is odd now, we have $q' = q$ if w_1 is odd, and $q' = q + 1$ if w_1 is even. Using the pattern $\alpha_r 0$ and positions $\alpha_r 1$, we have the following MGI for Γ_0 :

$$\sum_{j=1}^{w_r} (-1)^j g^{\alpha_r+e_{p_j^r}} g^{e_{p_j^r}} + g^{\alpha_r} = 0.$$

Substituting this in (10), we have $S_r = -(-1)^{v_r} r_1^{-q'} g^{e_t} g^{\alpha_1+e_t} g^{\alpha_2} \dots g^{\alpha_r} \dots g^{\alpha_n}$.

To summarize, after the first block sum S_1 , every even block will be zero, and every odd block will alternately contribute a $\pm r_1^{-q'}$ $g^{e_t} g^{\alpha_1+e_t} g^{\alpha_2} \dots g^{\alpha_n}$. If S_1 is an even block sum, then this alternating sum has an even number of such terms, and they all cancel out. This leaves us with the desired result $\Gamma^{\alpha_1 \alpha_2 \dots \alpha_n} = S_1 = r_1^{-q} g^{\alpha_1} g^{\alpha_2} \dots g^{\alpha_n}$ from (8). If the first block is odd, then $q' = q$, and there are an odd number of alternating S_r for $r > 1$ and w_r odd, starting with the sign $-(-1)^{v_2} = +1$. These will cancel out pairwise except one $r_1^{-q} g^{e_t} g^{\alpha_1+e_t} g^{\alpha_2} \dots g^{\alpha_n}$ left, which cancels the $-r_1^{-q} g^{e_t} g^{\alpha_1+e_t} g^{\alpha_2} \dots g^{\alpha_n}$ in S_1 from (7). Finally in either cases, we have $\Gamma^{\alpha_1 \alpha_2 \dots \alpha_n} = r_1^{-q} g^{\alpha_1} g^{\alpha_2} \dots g^{\alpha_n}$. This is precisely (2).

Now we consider the case $\Gamma^{e_1 e_1 00 \dots 0} = 0$. If there exists any $i \in [k]$ such that $\Gamma^{e_i e_i 00 \dots 0} \neq 0$, the above proof can go through similarly. Therefore we assume for all $i \in [k]$, $\Gamma^{e_i e_i 00 \dots 0} = 0$.

Consider any $1 \leq i, j, s, t \leq k$ (not necessarily distinct). Using the pattern $0e_j e_s e_t 00 \cdots 0$ and positions $e_i e_j e_s e_t 00 \cdots 0$ we get (applying block symmetry), $\Gamma^{e_i e_j e_s e_t 0 \cdots 0} \Gamma^{00 \cdots 0} - \Gamma^{e_s e_t 0 \cdots 0} \Gamma^{e_i e_j 0 \cdots 0} + \Gamma^{e_i e_s 0 \cdots 0} \Gamma^{e_j e_t 0 \cdots 0} - \Gamma^{e_j e_s 0 \cdots 0} \Gamma^{e_i e_t 0 \cdots 0} = 0$.

Also use the pattern $0e_s e_j e_t 00 \cdots 0$ and positions $e_i e_s e_j e_t 00 \cdots 0$ we get $\Gamma^{e_i e_s e_j e_t 0 \cdots 0} \Gamma^{00 \cdots 0} - \Gamma^{e_j e_t 0 \cdots 0} \Gamma^{e_i e_s 0 \cdots 0} + \Gamma^{e_s e_t 0 \cdots 0} \Gamma^{e_i e_j 0 \cdots 0} - \Gamma^{e_s e_j 0 \cdots 0} \Gamma^{e_i e_t 0 \cdots 0} = 0$.

Adding the two, we get $\Gamma^{e_i e_s e_j e_t 00 \cdots 0} = \Gamma^{e_s e_j 00 \cdots 0} \Gamma^{e_i e_t 00 \cdots 0}$.

From this we have

$$(\Gamma^{e_i e_j 00 \cdots 0})^2 = \Gamma^{e_i e_j e_i e_j 00 \cdots 0} = \Gamma^{e_i e_j e_j e_i 00 \cdots 0} = \Gamma^{e_i e_i 00 \cdots 0} \Gamma^{e_j e_j 00 \cdots 0} = 0.$$

Therefore for all $i, j \in [k]$, we have $\Gamma^{e_i e_j 00 \cdots 0} = 0$. Now we define $g^\alpha = \Gamma^{\alpha 00 \cdots 0}$ when $\text{wt}(\alpha)$ is even, and $g^\alpha = 0$ when $\text{wt}(\alpha)$ is odd, and inductively prove (2) similarly as before. (g^α is the condensed signature of a realizable matchgate Γ_0 of arity $k + 1$ obtained from Γ as follows: View its first k external nodes (in the first block) still as external and the rest as internal, add a new isolated edge with weight 1, and one end as the $(k + 1)$ -st external node and the other end an internal node. We will still arrive at (4). Now all block sums $S_r = 0$, for $r > 1$, since it involves a $\Gamma^{e_t + e_{p_j}}$, and e_t appears in the first block.

Consider the first block sum S_1 . Suppose $q > 0$, i.e., there are some odd w_r . Then there are at least two odd blocks. Only the first block has a changed index in the sum, so some odd block among $\alpha_2, \dots, \alpha_n$ remains in $\Gamma^{\alpha_1 \alpha_2 \cdots \alpha_n + e_t + e_{p_j}}$. Thus, by induction it is 0, since the corresponding $g^{\alpha_i} = 0$. Now suppose $q = 0$, i.e., all blocks are even. By induction we get

$$\Gamma^{\alpha_1 \alpha_2 \cdots \alpha_n} = g^{\alpha_2} \cdots g^{\alpha_n} \sum_{j=2}^{w_1} (-1)^j g^{\alpha_1 + e_t + e_{p_j}} g^{e_t + e_{p_j}}.$$

Using the pattern $(\alpha_1 + e_t)0$ and positions $\alpha_1 0$ on Γ_0 , we have MGI,

$$-g^{\alpha_1} + \sum_{j=2}^{w_1} (-1)^j g^{\alpha_1 + e_t + e_{p_j}} g^{e_t + e_{p_j}} = 0,$$

This gives $\Gamma^{\alpha_1 \alpha_2 \cdots \alpha_n} = g^{\alpha_1} g^{\alpha_2} \cdots g^{\alpha_n}$ proving (2). □

These theorems give an elegant decomposition structure of block-wise symmetric signatures. There is an underlying bit-wise symmetric signature Γ_s , whose structure is very clear to us. Therefore, the realizability condition is within each block.

4 Characterization of Block-Wise Symmetric Signature with Block Size 2

In Theorem 1, we have two assumptions $n \geq 4$ and $\Gamma^{00 \cdots 0} \neq 0$. $n \geq 4$ is necessary for some boundary reason. The assumption $\Gamma^{00 \cdots 0} \neq 0$ is more technical but we are not able to bypass it in general. However, in this section we show that this assumption is not necessary for block size $k = 2$.

Theorem 2. *If Γ is a block-wise symmetric signature for some matchgate, whose block size is 2 and arity $2n$ where $n \geq 4$. Then there exist four numbers $g^{00}, g^{01}, g^{10}, g^{11}$ and a realizable bit-wise symmetric signature Γ_s such that*

$$\Gamma^{\alpha_1\alpha_2\cdots\alpha_n} = \Gamma_s^{p(\alpha_1)p(\alpha_2)\cdots p(\alpha_n)} g^{\alpha_1} g^{\alpha_2} \cdots g^{\alpha_n}. \tag{11}$$

We only prove it for even matchgates here; the proof is similar for odd matchgates. If $\Gamma^{00,00,\dots,00} \neq 0$ or $\Gamma^{11,11,\dots,11} \neq 0$ (we use “,” to separate blocks), we are done by Theorem 1. Note that flipping all bits preserves block-symmetry. Now we assume Γ is an even matchgate, $n \geq 4$, and $\Gamma^{00,00,\dots,00} = \Gamma^{11,11,\dots,11} = 0$. This assumption is made for all the following Claims.

Claim 1. *For any $\alpha \in \{00, 01, 10, 11\}^{n-4}$, we have*

$$\Gamma^{01,01,01,01,\alpha} \Gamma^{00,00,00,00,\alpha} = (\Gamma^{01,01,00,00,\alpha})^2.$$

$$\Gamma^{10,10,10,10,\alpha} \Gamma^{00,00,00,00,\alpha} = (\Gamma^{10,10,00,00,\alpha})^2.$$

$$\Gamma^{01,01,10,10,\alpha} \Gamma^{00,00,00,00,\alpha} = \Gamma^{01,01,00,00,\alpha} \Gamma^{10,10,00,00,\alpha} = (\Gamma^{01,10,00,00,\alpha})^2.$$

Proof. All three equations follow from MGI. The α part is not involved in the MGI. This means that the pattern for these bits is exactly α and the position vector bits for these bit locations are all 0. For convenience, we only list below the pattern and positions for the other bits, which are really involved in the MGI. We also use this simplified notation in the following Claims.

This Claim is quite direct from MGI. We only list the pattern and positions used, and omit the actual MGI. The first equation uses the pattern 00, 01, 01, 01 and positions 01, 01, 01, 01. The second equation uses the pattern 00, 10, 10, 10 and positions 10, 10, 10, 10. The last equation is from two MGI: one uses the pattern 00, 01, 10, 10 and positions 01, 01, 10, 10, the other uses the pattern 00, 10, 01, 10 and positions 01, 10, 01, 10. □

Claim 2

$$\Gamma^{00,00,\{00,01,10\}^{n-2}} = 0.$$

$$\Gamma^{11,11,\{11,01,10\}^{n-2}} = 0.$$

Proof. We only prove $\Gamma^{00,00,\{00,01,10\}^{n-2}} = 0$; the second equation can be obtained for the first by flipping all the bits. For $\alpha \in \{00, 01, 10\}^{n-2}$, we prove it by induction on $\text{wt}(\alpha) \geq 0$ and $\text{wt}(\alpha)$ is even. The case $\text{wt}(\alpha) = 0$ is by assumption. We use Claim 1 to go from weight i to weight $i + 2$. □

Claim 3. *For any $\alpha \in \{00, 01, 10, 11\}^{n-3}$,*

$$\Gamma^{00,00,00,\alpha} = 0.$$

$$\Gamma^{11,11,11,\alpha} = 0.$$

Proof. We also only need to prove $\Gamma^{00,00,00,\alpha} = 0$. For $\alpha \in \{00, 01, 10, 11\}^{n-3}$, we prove it by induction on the number of non-“00” blocks in α . (We denote this number by $N_0(\alpha)$.)

If every block in α is 00, then it is by assumption. Inductively we assume it has been proved for all $N_0(\alpha) < i$. Now $N_0(\alpha) = i$. If α does not have any block “11”, it has been proved by Claim 2. Otherwise, we can assume $\alpha = 11, \alpha'$ by block-symmetry. Since $N_0(00, \alpha') = i - 1$, we have $\Gamma^{00,00,00,00,\alpha'} = 0$.

Using the pattern 00, 00, 01, 11 and positions 00, 00, 11, 11, we have MGI: (Note that we omit the α' part, and also we omit the symbol Γ in the MGI.)

$$\begin{aligned} 0 &= (00, 00, 11, 11)(00, 00, 00, 00) \\ &\quad - (00, 00, 00, 11)(00, 00, 11, 00) \\ &\quad + (00, 00, 01, 01)(00, 00, 10, 10) \\ &\quad - (00, 00, 01, 10)(00, 00, 10, 01) \end{aligned}$$

The first term is 0, and by Claim 1, the last two terms cancel out. It follows that $\Gamma^{00,00,00,11,\alpha'} \Gamma^{00,00,11,00,\alpha'} = 0$, which is exactly $\Gamma^{00,00,00,\alpha} = 0$. \square

From Claim 3 and Claim 1, we have

Claim 4. For any $\alpha \in \{00, 01, 10, 11\}^{n-4}$,

$$\Gamma^{01,10,00,00,\alpha} = \Gamma^{01,01,00,00,\alpha} = \Gamma^{10,10,00,00,\alpha} = 0.$$

Claim 5. For any $\alpha \in \{00, 01, 10, 11\}^{n-2}$, the following are all valid,

$$\Gamma^{00,00,\alpha} = 0, \quad \Gamma^{11,11,\alpha} = 0, \quad \Gamma^{00,11,\alpha} = 0.$$

Claim 5 says that every non-zero entry Γ^α can have at most one even block. This is an important step in the proof. However, due to space limitation, the proof is omitted here, and is presented in the full paper[4]. The proof is by repeated applications of MGI (*death by a thousand cuts*, an ancient Chinese disgrace; unfortunately we cannot find a *coup de grâce*.)

Claim 6. For any $\alpha \in \{00, 01, 10, 11\}^{n-2}$, we have

$$\Gamma^{01,01,\alpha} \Gamma^{10,10,\alpha} = (\Gamma^{01,10,\alpha})^2.$$

Proof. Using the pattern 00, 01 and positions 11, 11 (omitting α), we have MGI:

$$0 = (10, 01)(01, 10) - (01, 01)(10, 10) + (00, 11)(11, 00) - (00, 00)(11, 11).$$

From Claim 5, we know the last two terms are both 0. So we have

$$\Gamma^{01,01,\alpha} \Gamma^{10,10,\alpha} = (\Gamma^{01,10,\alpha})^2. \quad \square$$

Claim 7. For $n \geq 4$, $k = 2$, if n is even and $\Gamma^{00,00,\dots,00} = \Gamma^{11,11,\dots,11} = 0$, Theorem 2 holds.

Proof. Suppose $\Gamma^{\alpha_1, \alpha_2, \dots, \alpha_n} \neq 0$, we show each $\alpha_i \in \{01, 10\}$. Since n is even and we have an even matchgate, the number of odd blocks must be even, so that if it has any even block it has at least two even blocks. Then by Claim 5 it is 0.

If $\Gamma^{01, 01, \dots, 01} \neq 0$, w.l.o.g, we assume $\Gamma^{01, 01, \dots, 01} = 1$. Let Γ_s be the matchgate having symmetric signature $[0, 0, \dots, 0, 1]$ (in the notation for bit-wise symmetric signatures), let $g^{01} = 1$ and $g^{10} = \Gamma^{10, 01, 01, \dots, 01} / \Gamma^{01, 01, \dots, 01} = \Gamma^{10, 01, 01, \dots, 01}$. From Claim 6, we can verify that (11) is satisfied. This is seen as follows: Claim 6 allows one to “exchange” one block of 10 for one block of 01, incurring a factor of g^{10} . This works as long as $g^{10} \neq 0$. If $g^{10} = 0$, we can instead use Claim 6 to show that $\Gamma^{01, 10, \alpha} = 0$, for all $\alpha \in \{01, 10\}^{n-2}$. Moreover we want to show that $\Gamma^{10, 10, \dots, 10} = 0$ as well. For this purpose, we use MGI with the pattern $00, 10, 10, \dots, 10$ and all positions, and get

$$0 = (10, 10, \dots, 10)(01, 01, \dots, 01) - (01, 10, \dots, 10)(10, 01, \dots, 01) + \dots$$

The remaining terms (omitted) all have a 00 block in its first factor, and so they are all 0. The second term is also 0 as $g^{10} = 0$. Yet $(01, 01, 01, \dots, 01) = 1$, so $(10, 10, 10, \dots, 10) = 0$. This proves the Claim when $\Gamma^{01, 01, \dots, 01} \neq 0$.

If $\Gamma^{01, 01, \dots, 01} = 0$, again from the “exchange” argument by Claim 6, the only possible non-zero entry of Γ is $\Gamma^{10, 10, \dots, 10}$. Let $g^{00} = g^{11} = g^{01} = 0$ and $g^{10} = \sqrt[n]{\Gamma^{10, 10, \dots, 10}}$. Then (11) is satisfied. (This may require us to go to an algebraic extension field.) □

Claim 8. For $n \geq 4$, $k = 2$, n is odd and $\Gamma^{00, 00, \dots, 00} = \Gamma^{11, 11, \dots, 11} = 0$, Theorem 2 holds.

Proof. Since n is odd and Γ is an even matchgate, from Claim 5, we know that if $\Gamma^{\alpha_1 \alpha_2 \dots \alpha_n} \neq 0$, then there is exactly one $\alpha_i \in \{00, 11\}$ and all other $\alpha_j \in \{01, 10\}$. By block-symmetry, we assume $\alpha_1 \in \{00, 11\}$ and $\alpha_i \in \{01, 10\}$ (where $i = 2, 3, \dots, n$).

If $\Gamma^{00, 01, 01, \dots, 01} \neq 0$, w.l.o.g, we assume $\Gamma^{00, 01, 01, \dots, 01} = 1$. Let $g^{00} = g^{01} = 1$. Using the pattern $10, 01, 01, \dots, 01$ and the first four bits as positions, we have

$$(00, 01)(11, 10) - (11, 01)(00, 10) + (10, 11)(01, 00) - (10, 00)(01, 11) = 0.$$

By block-symmetry, the first and the last two terms are equal. So we have

$$\Gamma^{00, 01, 01, \dots, 01} \Gamma^{11, 10, 01, \dots, 01} - \Gamma^{11, 01, 01, \dots, 01} \Gamma^{00, 10, 01, \dots, 01} = 0. \tag{12}$$

Since $\Gamma^{00, 01, 01, \dots, 01} = 1$, let $g^{11} = \Gamma^{11, 01, 01, \dots, 01}$ and $g^{10} = \Gamma^{00, 10, 01, \dots, 01}$, we have $\Gamma^{11, 10, 01, \dots, 01} = g^{11} g^{10}$. And let Γ_s be the matchgate with symmetric signature $[0, 0, \dots, 1, 0]$. The proof is similar with Claim 7. Degenerate cases happen when $g^{10} = 0$, or $g^{11} = 0$, or both. In particular, when $g^{10} = 0$, we need to prove $\Gamma^{00, 10, 10, \dots, 10} = 0$, which goes beyond Claim 6. This is shown by the MGI using the pattern $00, 00, 10, \dots, 10$ and positions $00, 11, 11, \dots, 11$ (all the bits except the first two). We also need to prove $\Gamma^{11, 10, 10, \dots, 10} = 0$ when $g^{10} = 0$ or $g^{11} = 0$ or both. This can be shown by the MGI using the pattern $10, 01, 01, \dots, 01$ and all positions.

If $\Gamma^{11, 01, 01, \dots, 01} \neq 0$, we have a similar proof.

Finally assume $\Gamma^{00,01,01,\dots,01} = \Gamma^{11,01,01,\dots,01} = 0$. From Claim 6 and the “exchange” argument, the only two possible non-zero entries of Γ are $\Gamma^{00,10,10,\dots,10}$ and $\Gamma^{11,10,10,\dots,10}$. If they are both 0, then Γ is trivial. Otherwise w.l.o.g. we assume $\Gamma^{00,10,10,\dots,10} = 1$. Let $g^{01} = 0$, $g^{00} = g^{10} = 1$ and $g^{11} = \Gamma^{11,10,10,\dots,10}$. And let Γ_s be the matchgate with symmetric signature $[0, 0, \dots, 1, 0]$, we can verify that (11) is satisfied. \square

Together with Claim 7 and Claim 8, we have a complete proof for Theorem 2.

This paper presents an elegant decomposition theorem on the structure of block-wise symmetric signatures for matchgates. The main tool is Matchgate Identities. However the statement of Theorem 2 for $k > 2$ without any non-zero conditions is open. It would also be interesting to simplify the proofs.

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